

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application Number	09/748,105
		Filing Date	December 27, 2000
		First Named Inventor	Scott R. Jeffery
		Art Unit	2176
		Examiner Name	Quoc A. Tran
Total Number of Pages in This Submission	11	Attorney Docket Number	19482.0002

**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Reply  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  <b>Request for Corrected Filing Receipt; copy of Filing Receipt mailed June 5, 2001 with correction marked in red and copy of Combined Declaration and Power of Attorney filed May 18, 2001</b>		
<table border="1"> <tr> <td>Remarks</td> <td></td> </tr> </table>			Remarks	
Remarks				

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Chadwick A. Jackson, Reg. No. 46,495 Swidler Berlin Shereff Friedman LLP
Signature	
Date	September 7, 2004

**CERTIFICATE OF MAILING**

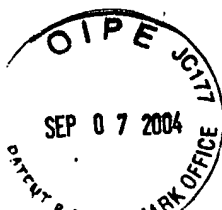
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name			
Signature		Date	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

IFW Receipt



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: :  
Scott R. Jeffery :  
 :  
 : Group Art Unit: 2176  
Application No.: 09/748,105 :  
 : Examiner: Quoc A. Tran  
Filed: December 27, 2000 :  
 :  
Titled: DOCUMENT MANAGEMENT SYSTEM

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant requests that a Corrected Filing Receipt be issued due to an error on the Filing Receipt mailed June 5, 2001 in order to correct the last name for inventor Scott R. "Jeffery". A copy marked in red ink showing the required correction is attached. Also, attached is a copy of the Combined Declaration and Power of Attorney document that was filed on May 18, 2001.

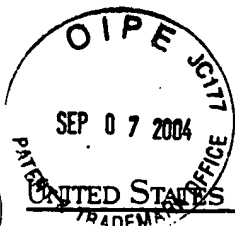
The Commissioner is hereby authorized to charge any insufficient fees or credit any overpayment to Deposit Account No. 19-5127 referencing Order No. 19482.0002 for entry of this paper.

Respectfully submitted,  
Swidler Berlin Shereff Friedman, LLP

Dated: September 7, 2004

By: Chadwick A. Jackson  
Registration No. 46,495

SWIDLER BERLIN SHEREFF FRIEDMAN, LLP  
3000 K Street, N.W., Suite 300  
Washington, D.C. 20007  
(202) 424-7500



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/748,105	12/27/2000	2176	523	19482.0002	44	27	4

CONFIRMATION NO. 4144

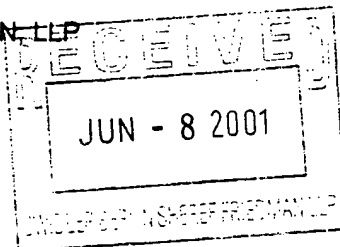
23517

SWIDLER BERLIN SHEREFF FRIEDMAN LLP

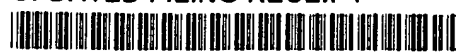
3000 K STREET, NW

BOX 1P

WASHINGTON, DC 20007



UPDATED FILING RECEIPT



\*OC000000006149223\*

Date Mailed: 06/05/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Jeffrey

Scott R. Jeffrey, Westfield, NJ;  
Gary L. O'Gwen, Annapolis, MD;  
Ben Allen Hornsby, Chickamauga, GA;  
Kelley E. McBryde, Hixson, TN;  
Warren Coleman Powell, Lookout Mountain, TN;  
Thomas A. Rizk, Franklin Lakes, NJ;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 06/05/2001

Projected Publication Date: 06/27/2002

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

DOCKETED *Jeffrey 6.8.01*

Document management system ✓

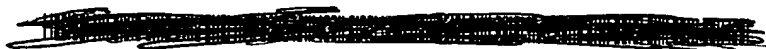
## Preliminary Class

707

**Data entry by : GOREMS, SELAMAWIT**

**Team : OIPE**

**Date: 06/05/2001**



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

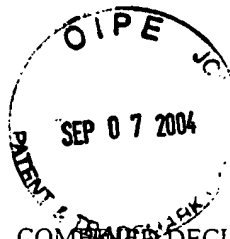
No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



Docket No. 19482.0002

COMBINED DECLARATION AND POWER OF ATTORNEY FOR  
ORIGINAL, DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENTAL,  
DIVISIONAL, CONTINUATION OR CONTINUATION-IN-PART APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

DOCUMENT MANAGEMENT SYSTEM

the specification of which

- a. ☐ is attached hereto
- b. ☒ was filed on December 27, 2000 as application Serial No. \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

PCT FILED APPLICATION ENTERING NATIONAL STAGE

- c. ☐ was described and claimed in International Application No. \_\_\_\_\_ filed on \_\_\_\_\_ and as amended on \_\_\_\_\_ (if any).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56.

I hereby specify the following as the correspondence address to which all communications about this application are to be directed:

SEND CORRESPONDENCE TO:

Edward A. Pennington  
SWIDLER BERLIN SHEREFF FRIEDMAN, LLP  
3000 K Street, N.W., Suite 300  
Washington, D.C. 20007-5166

DIRECT TELEPHONE CALLS TO: Eric J. Franklin, 202-424-7500

- ☐ I hereby claim foreign priority benefits under Title 35, United States Code § 119 (a)-(d) or under § 365(b) of any foreign application(s) for patent or inventor's certificate or under § 365(a) of any PCT international application(s) designating at least one country other than the U.S. listed below and also have identified below such foreign application(s) for patent or inventor's certificate or such PCT international application(s) filed by me on the same subject matter having a filing date within twelve (12) months before that of the application on which priority is claimed:

- ☐ The attached 35 U.S.C. § 119 claim for priority for the application(s) listed below forms a part of this declaration.

Country/PCT	Application Number	Date of filing (day, month, yr)	Date of issue (day, month, yr)	Priority Claimed
				<input type="checkbox"/> Y <input type="checkbox"/> N
				<input type="checkbox"/> Y <input type="checkbox"/> N
				<input type="checkbox"/> Y <input type="checkbox"/> N

- ☐ I hereby claim the benefit under 35 U.S.C. § 119(e) of any U.S. provisional application(s) listed below.

Provisional Application No.

Date of filing (day, month, yr)

**ADDITIONAL STATEMENTS FOR DIVISIONAL, CONTINUATION OR CONTINUATION-IN-PART  
OR PCT INTERNATIONAL APPLICATION(S) DESIGNATING THE U.S.)**

I hereby claim the benefit under Title 35, United States Code § 120 of any United States application(s) or under § 365(c) of any PCT international application(s) designating the U.S. listed below.

<u>US/PCT Application Serial No.</u>	<u>Filing Date,</u>	<u>Status (patented, pending, abandoned)/ U.S. application no. assigned (For PCT)</u>
--------------------------------------	---------------------	---

<u>US/PCT Application Serial No.</u>	<u>Filing Date,</u>	<u>Status (patented, pending, abandoned)/ U.S. application no. assigned (For PCT)</u>
--------------------------------------	---------------------	---

- ☐ In this continuation-in-part application, insofar as the subject matter of any of the claims of this application is not disclosed in the above listed prior United States or PCT international application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or Imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint the following attorneys and/or agents with full power of substitution and revocation, to prosecute this application, to receive the patent, and to transact all business in the Patent and Trademark Office connected therewith: Edward A. Pennington (Reg. No. 32,588), John P. Moran (Reg. No. 30,906), Eric J. Franklin (Reg. No. 37,134), Michael A. Schwartz (Reg. No. 40,161), Robert C. Bertin (Reg. No. 41,488), Alicia A. Meros (Reg. No. 44,937), Chadwick A. Jackson (Reg. No. 46,495), Edward J. Naidich (Reg. No. 43,826), and Sean O'Hanlon (Reg. No. 47,252) of Swidler Berlin Shereff Friedman having an address of 3000 K Street, N.W., Suite 300, Washington, D.C. 20007-5116.



Full name of sole or first inventor Scott R. Jeffery

Inventor's signature

Date:

Residence: 535 Arlington Avenue, Westfield, New Jersey 07090

Citizenship: United States

Post Office Address: 535 Arlington Avenue, Westfield, New Jersey 07090

Full name of second inventor Gary L. O'Gwen

Inventor's signature

Date:

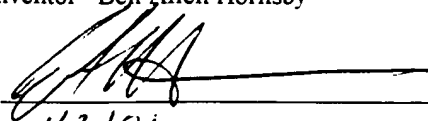
Residence: 7 Skippers Court, Annapolis, Maryland 21403

Citizenship: United States

Post Office Address: 7 Skippers Court, Annapolis, Maryland 21403

☐ ATTACHED IS ADDED PAGE TO COMBINED DECLARATION AND POWER OF ATTORNEY FOR SIGNATURE BY THIRD AND SUBSEQUENT INVENTORS FORM.

Full name of sole or first inventor Ben Allen Hornsby

Inventor's signature 

Date:


11/2/01

Residence: 60 Haleys Cove Drive, Chickamauga, Georgia 30707

Citizenship: United States

Post Office Address: 60 Haleys Drive, Chickamauga, Georgia 30707

Full name of second inventor Kelley E. McBryde

Inventor's signature 

Date:

11/9/01

Residence: 2604 Arbor Creek Way, Hixson, Tennessee 37343

Citizenship: United States

Post Office Address: 2604 Arbor Way, Hixson, Tennessee 37343

☒ ATTACHED IS ADDED PAGE TO COMBINED DECLARATION AND POWER OF ATTORNEY FOR SIGNATURE BY THIRD AND SUBSEQUENT INVENTORS FORM.

Full name of third inventor Warren Coleman Powell

Inventor's signature

Date:

Residence: 224 North Hermitage Avenue, Lookout Mountain, Tennessee 37350

Citizenship: United States

Post Office Address: 224 Hermitage Avenue, Lookout Mountain, Tennessee 37350

Full name of fourth inventor Thomas A. Rizk

Inventor's signature

Date:

Residence: 302 Waterview Drive, Franklin Lakes, New Jersey 07417

Citizenship: United States

Post Office Address: 302 Waterview Drive, Franklin Lakes, New Jersey 07417

Full name of fifth inventor

Inventor's signature

Date:

Residence:

Citizenship:

Post Office Address:

Full name of sixth inventor

Inventor's signature

Date:

Residence:

Citizenship:

Post Office Address:

Full name of seventh inventor

Inventor's signature

Date:

Residence:

Citizenship:

Post Office Address: